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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Form 1449 for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.: 2001-0163A

First Named Inventor : Norio KIMURA et al.

Title: POLISHING APPARATUS

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|---|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form
<i>(Submit an original, and a duplicate for fee processing)</i> | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix) |
| 2. <input type="checkbox"/> Small Entity Status is hereby asserted. | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i> |
| 3. <input checked="" type="checkbox"/> Specification
<i>(preferred arrangement set forth below)</i> | a. <input type="checkbox"/> Computer Readable Form |
| - Descriptive title of the Invention | b. Specification Sequence Listing on: |
| - Cross References to Related Applications | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or |
| - Statement Regarding Fed sponsored R & D | ii. <input type="checkbox"/> Paper |
| - Reference to Sequence Listing, a table, or a computer program listing appendix. | c. <input type="checkbox"/> Statement verifying identity of above copies |
| - Background of the Invention | |
| - Brief Summary of the Invention | |
| - Brief Description of the Drawings <i>(if filed)</i> | |
| - Detailed Description | |
| - Claim(s) | |
| - Abstract of the Disclosure | |
| 4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 USC 113)</i> | <i>(Total Sheets: 5)</i> |
| 5. <input checked="" type="checkbox"/> Oath or Declaration | <i>(Total Pages: 3)</i> |
| a.1. <input type="checkbox"/> Newly executed (original or copy) | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| a.2. <input checked="" type="checkbox"/> Unexecuted | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 18 completed)</i> | <input type="checkbox"/> Power of Attorney |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> |
| 6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76) | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 |
| | <input type="checkbox"/> Copies of IDS Citations |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet : | 13. <input type="checkbox"/> Preliminary Amendment |
| <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> |
| | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)
<i>(if foreign priority is claimed)</i> |
| | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent. |
| | 17. <input type="checkbox"/> Other |

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement *(when there is an assignee)*
- Power of Attorney
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation Divisional Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

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February 16, 2001

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

• IN UNITED STATES PATENT AND TRADES
ARK OFFICE

In re application of

Norio KIMURA et al.

Serial No. NEW

: Attn: APPLICATION BRANCH

Filed February 16, 2001

: Attorney Docket No. 2001-0163A

POLISHING APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$710.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$710.00

Assignment for Recordal \$

Additional Claims Fee:

Excess of Twenty \$

Independent \$

Multiple Dependent Fee \$

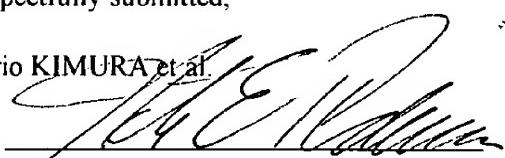
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Norio KIMURA et al.

By


Nils E. Pedersen

Registration No. 33,145

Attorney for Applicants

NEP/krl

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February 16, 2001

[Check No. 43039]
2001_0163A